

<b>Name:</b>	
<b>Address:</b>	
<b>Postcode:</b>	
<b>Date:</b>	

Dear Sirs,

## **Statement for Self- Certified Sophisticated Investor**

**I declare that I am a self- certified sophisticated investor for the purposes of the Financial Services and Markets Act (Financial Promotion) Order 2001.**

**I understand that this means that:-**

- (a) I can receive financial promotions that may not have been approved by a person authorised by the Financial Services Authority;**
- (b) The content of such financial promotions may not conform to the rules issued by the Financial Services Authority;**
- (c) I will waive the protection afforded to me by the Financial Services Authority's Financial Promotions rules;**

**I am a self- certified sophisticated investor because at least one of the following applies :-**

- (a) I am a member of a network or syndicate of business angels and have been so for at least the last six months prior to the date below;**
- (b) I have made more than one investment in an unlisted company in the two years prior to the date below;**
- (c) I am working, or have worked in the two years prior to the date below, in a professional capacity in the private equity sector, or in the provision of finance for small and medium enterprises;**
- (d) I am currently, or have been in the two years prior to the date below, a director of a company with an annual turnover of at least £1 million.**

**By signing the declaration I am aware that:-**

- (a) I may incur a loss as a result of any future investment;**
- (b) Neither Dean Street Ltd, nor its employees, will provide any advice to me in respect of the investment opportunities and/or financing in relation to such opportunities;**

- (c) **It is open to me to seek advice from someone specialising in advising on Investments;**

**Signature**

**Date**

**Please return to: Dean Street Ltd., 22- 28 Dean Street, Newcastle upon Tyne, NE1 1P**